

SWIM Violation Code Descriptions

| Code | Violation | Description |
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| ATOX | Acute Toxicity | Violation of an acute toxicity effluent requirement. |
| CAT1 | Category 1 pollutant | Exceedance of Category 1 pollutants by 1.4 times the monthly average effluent limit for any two months in a six month period (40 CFR Sec 123.45) |
| CAT2 | Category 2 pollutant | Exceedance of Category 2 pollutants by 1.2 times the monthly average effluent limit for any two months in a six month period (40 CFR Sec 123.45) |
| CSCH | Compliance schedule | Failure to comply with a compliance schedule in a permit. This does not include schedules in an enforcement order likes a Cease & Desist and Time Schedule Orders. |
| CTOX | Chronic Toxicity | Violation of a chronic toxicity effluent requirement. |
| NOTR | Failure to Notify | Failure to notify the Regional Board as required by permit condition. |
| OBPR | Failure to obtain permit | Failure to obtain the appropriate permits prior to discharge or regulated activity. |
| OEV | Other effluent violation | Any violations of an effluent requirement not covered under Category 1 or Category 2. |
| PAYF | Failure to pay fees | Failure to pay annual permit fees. This does not include failure to pay any penalties assessed by an ACL. |
| PENF | Previous Enforcement Order | Failure to comply with a previous enforcement order by not meeting its requirements, its time schedule, or failure to pay penalties. |
| PRMC | Violation of Non-effluent Permit Condition | Violation of any permit condition not pertaining to effluent requirements. |
| RLGW | Release to groundwater | Any release to groundwater that violates permit conditions or basin plan prohibitions. |
| RPT | Failure to submit reports or report is deficient | Failure to submit a report or a report that is either not complete or contains errors. |
| PRET | Pretreatment | Any permit violation related to a pretreatment program. |
| PROH | Basin Plan Prohibition | Basin Plan Prohibition – Violation of any basin plan prohibition. |
| SSO | Sanitary Sewer Overflow | Any spill from a sanitary sewer collection system or pump station. |
| UNRD | Unregulated Discharge | Any spill that is not a SSO as described above. |

SWIM Enforcement Action Codes

| Enforcement Action- Informal | SWIM Code |
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| Verbal | VER |
| Staff enforcement letter | SEL |
| Notice of Violation | NOV |

| Enforcement Action- Formal | SWIM Code |
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| Notices to Comply | NTC |
| Notices of stormwater non-compliance | NSW |
| Technical reports or investigations (13267) | LTR |
| Clean up and Abatement Orders | CAO |
| Time Schedule Orders (13300 or 13308) | TSO |
| Cease and Desist Orders | CDO |
| Administrative Civil Liability Complaint | APC |
| Administrative Civil Liability Complaint with Mandatory Minimum Penalty | MPC |
| Mandatory Minimum Penalty | MPO |
| Administrative Civil Liability | ACL |
| Referrals to Attorney General, District Attorney, US Department of Justice | RDA, RAG, RUS, RTF, ROT |